



<div style="text-align: center;"><b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small></div> <div style="margin-top: 10px;"><div style="display: flex; justify-content: space-between;"><div style="width: 45%;"><p><input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b> <small>(Submit an original and a duplicate for fee processing)</small></p><p><input checked="" type="checkbox"/> <b>Specification</b></p></div><div style="width: 50%; text-align: right;"><p>Total Sheets <span style="border: 1px solid black; padding: 2px 10px;">51</span></p></div></div><p>3. <input checked="" type="checkbox"/> <b>Drawing(s) (35 U.S.C. 113)</b>    Total Sheets <span style="border: 1px solid black; padding: 2px 10px;">18</span></p><p>4. <input type="checkbox"/> <b>Oath or Declaration</b>    Total Pages <span style="border: 1px solid black; padding: 2px 10px;"></span></p><div style="margin-left: 20px;"><p>a. <input type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation / divisional w/ box 16 completed)</small></p><p style="margin-left: 20px;">i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</small></p></div><p>5. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b></p><p>6. <input type="checkbox"/> <b>Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</b></p><div style="margin-left: 20px;"><p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p><p>b. <b>Specification or Sequence Listing on:</b></p><div style="margin-left: 20px;"><p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p><p>ii. <input type="checkbox"/> Paper</p></div></div><p>c. <input type="checkbox"/> Statements verifying identity of above copies</p></div> <td colspan="2" style="width: 50%; vertical-align: top;"><div style="text-align: right;"><small>Assistant Commissioner for Patent Box Patent Application Washington, DC 20231</small></div><div style="margin-top: 10px;"><div style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></div><div style="margin-top: 10px;"><p>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p><p>8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p><p>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></p><p>10. <input type="checkbox"/> English Translation Document (if applicable)</p><p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p><p>12. <input type="checkbox"/> Preliminary Amendment</p><p>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p><p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p><p>15. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27</small></p><p>16. <input type="checkbox"/> Other: Request for Priority List of Inventors' Names and Addresses</p></div></div></td>		<div style="text-align: right;"><small>Assistant Commissioner for Patent Box Patent Application Washington, DC 20231</small></div> <div style="margin-top: 10px;"><div style="text-align: center;"><b>ACCOMPANYING APPLICATION PARTS</b></div><div style="margin-top: 10px;"><p>7. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p><p>8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p><p>9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></p><p>10. <input type="checkbox"/> English Translation Document (if applicable)</p><p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p><p>12. <input type="checkbox"/> Preliminary Amendment</p><p>13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard</p><p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p><p>15. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27</small></p><p>16. <input type="checkbox"/> Other: Request for Priority List of Inventors' Names and Addresses</p></div></div>	
<p>7. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:</p> <div style="display: flex; justify-content: space-between;"><div><input type="checkbox"/> Continuation    <input type="checkbox"/> Divisional    <input type="checkbox"/> Continuation-in-part (CIP)</div><div>of prior application no.:</div></div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"><div>Prior application information:</div><div>Examiner:</div><div>Group Art Unit:</div></div> <p style="font-size: small; margin-top: 5px;">For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
<p>18. Amend the specification by inserting before the first line the sentence:</p> <div style="display: flex; justify-content: space-between; margin-top: 10px;"><div><input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No.</div><div>Filed on</div></div> <div style="margin-top: 10px;"><p><input type="checkbox"/> Which was published in English</p><p><input type="checkbox"/> Which was not published in English</p><p><input type="checkbox"/> This application claims priority of provisional application Serial No. <span style="float: right;">Filed</span></p></div>			
<p><b>19. CORRESPONDENCE ADDRESS</b></p> <div style="margin: 10px 0;"></div> <div style="margin: 0 0 10px 0;"><p style="font-size: 1.5em; margin: 0;"><b>22850</b></p><p style="margin: 0;">(703) 413-3000</p><p style="margin: 0;">FACSIMILE: (703) 413-2220</p></div>			

Name:	Charles L. Gholz	Registration No.:	26,395
Signature:		Date:	12-29-00
Name:	Surinder Sachar	Registration No.:	34,423

Docket No. 201524US-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) CARLO BOTTERO, ET A.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: AUTOPROTECTED OPTICAL COMMUNICATION RING NETWORK

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	35 - 20 =	15	× \$18 =	\$270.00
INDEPENDENT CLAIMS	3 - 3 =	0	× \$80 =	\$0.00
■ MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$270.00
■ LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$1,380.00
□ REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
□ FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
□ RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,380.00

- Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
- A check in the amount of **\$1,380.00** to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

*Charles L. Gholz*

Charles L. Gholz  
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Date: 12-29-00



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(OSMMN 10/00)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

• IN RE APPLICATION OF: CARLO BOTTERO, ET AL.

FILING DATE: Herewith

FOR: AUTOPROTECTED OPTICAL COMMUNICATION RING NETWORK

## LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**SIR:**

Listed below are the names and addresses of the inventors for the above-identified patent application.

**Carlo Bottero** Milan, Italy

Paolo Guella Milan, Italy

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

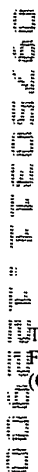
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(OSMMN 11/98)